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ATTORNEY DOCKET NO.
11321-P021US

17548-22-02
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AUG 20 2002
PATENT & TRADEMARK OFFICE
APPLICATION NO. 10/071,166

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Smalley, et al.

Serial No.: 10/071,166

Filing Date: February 8, 2002

Art Unit: 1754

Examiner: Not yet assigned

Title: GAS-PHASE PROCESS FOR PURIFYING SINGLE-WALL CARBON
NANOTUBES AND COMPOSITIONS THEREOF

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Pursuant to the provisions of 37 CFR §§ 1.56, 1.97 and 1.98, and MPEP 609, Applicant
submits herewith copies of the patents and other documents listed below and on the attached Form
PTO-SB/08 "Information Disclosure Citation."

DOCUMENTS

Author	Title	Publication Date	Pages
DAI, et al.	Single-wall nanotubes produced by metal-catalyzed disproportionation of carbon monoxide	09-27-1996	

COPY OF PAPERS
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TECHNOLOGY CENTER 1700

REMARKS

Consideration by the Examiner of each of the documents listed herein and on Form PTO-SB/08 is respectfully requested. Applicant respectfully requests that a copy of PTO-SB/08, as considered and initialed by the Examiner, be returned to the undersigned with the next Official Communication.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made. 37 CFR §1.97(g). Furthermore, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in this Statement is, or is considered to be, prior art, analogous art, or material to patentability of this application, but the information has been cited to make it clear beyond all doubt that Applicant's duty of disclosure has been complied with. 37 CFR §1.97(h).

Applicant hereby submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from these references.

This Information Disclosure Statement is being filed within three (3) months of these references being cited in a communication from a foreign patent office in a corresponding foreign application. 37 C.F.R. 1.97(c); MPEP §609(c) and MPEP §601(e)(1).

The attached form, PTO-SB/08, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-SB/08 is supplied herewith.

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It is believed that no fee is due; however, the Commissioner is hereby authorized to charge any fees which may be required by this paper, to Winstead Sechrest & Minick P.C. Deposit Account No. 23-2426.

Respectfully submitted,

Date: 8/1/02


Ross Spencer Garsson, Registration No. 38,150

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11321-P021US 08/01/2002



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete If Known

Application Number	10/071,166
Filing Date	February 8, 2002
First Named Inventor	Richard E. Smalley
Group Art Unit	1754
Examiner Name	Not yet assigned
Attorney Docket Number	11321-P021US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	
		DAI, et al; Single-Wall Nanotubes Produced by Metal-Catalyzed Disproportionation of Carbon Monoxide; September 27, 1996; Chemical Physics Letters Vol. 260 (1996); Page 473; Elsevier Science B.V.	

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Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

